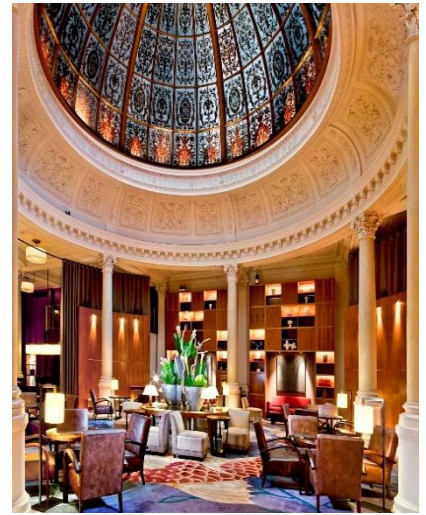


Co-Hosted by



Complimentary Guest Invitation

OpRisk360 Buy Side



Approaches to Operational Risk on the Buy Side

The Dome at Threadneedles, London

10 September 2019, 18:00-21:00

You are cordially invited by Barclay Simpson and Pulse Conferences to attend this unique reception with fellow directors of operational risk from the buy side on 10 September 2019, between 18:00 and 21:30 at The Dome at Threadneedles.

This networking reception, including a short discussion, is an ideal opportunity to benchmark and share best practice experiences with fellow operational risk professionals in a relaxed environment, to further understand what best practice in operational risk looks like for the buy side.

Discussion

Approaches to Operational Risk on the Buy Side

- The value of operational risk on the buy side
- Best practices to implementing operational risk frameworks on the buy side
- Challenges that buy side firms face
- Opportunities for hedge funds to build out operational risk functions

Carolin Schönherr, Head of Operational Risk, **Capula Investment Management LLP**

Iain Felstead, Head of Enterprise and Operational Risk, **Quilter Investors**

Tracy Puttock, Independent Consultant

Clive Room is the MC for the evening.

The discussion is held under The Chatham House Rule. *When a meeting, or part thereof, is held under The Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed.*

We hope you can join peers to enjoy this special evening. Located in the heart of the City, The Dome at Threadneedles retains impressive period features throughout, the circular stained-glass atrium is certainly the piece de resistance.

Please see the approximate timings over the page. If you can accept, kindly reply using the details below to confirm that you can attend. **Please R.S.V.P. before Friday 2 August 2019.** Confirmations will be on a 'first to confirm' basis.

This invitation is on a complimentary basis.

Many thanks for considering this invitation to network and build connections with the most influential players on the buy side of the operational risk community.

We look forward to hearing from you soonest.

Yours sincerely,

Team Pulse

R.S.V.P.
By Friday 2 August 2019
Please Register Online
www.oprisk360.com

Speaker Bios



Carolin Schönherr, CFA is the Head of Operational Risk for Capula Investment Management LLP. Carolin has built up a successful career across the three lines of defence at leading Investment Banks and Investment Managers - from Control Teams and Front Office to Operational Risk. In her current role at Capula, Carolin is accountable for the development and implementation of the Operational Risk Management Strategy, Framework and Policies. In both her executive and non-executive career, Carolin has significantly increased governance standards and created strategic direction to improve profitably and operational excellence.



Iain Felstead, Head of Enterprise and Operational Risk at Quilter Investors, is an experienced Risk Manager with a specialisation in operational risk but with extensive knowledge of enterprise risk, end-to-end investment management processes and the disciplines of business, market and credit risk. He has over 20 years' experience in asset management and a proven track record of increasing risk awareness via the on-going development of risk management training across organisations (and has delivered risk management training in three continents). Iain is also the Technical Adviser for the Chartered Institute of Securities and Investments (CISI) Investment Operations Certificate – Operational Risk Examination and a Panel Member for the Risk in Financial Services Qualification. He has also delivered guest lectures on Operational Risk Management at Cass Business School.



Tracy Puttock, Independent Consultant, is an accomplished and enthusiastic risk management expert, who has been an independent consultant for the last 15 years, and has led the design, implementation, embedding of risk management frameworks working across many sectors and for some of the top Asset Managers, Private Wealth Managers and Private Banks in the City. With over 30 years of working experience Tracy has been recognised by her clients for her problem solving, sound technical experience and ability to embed risk positively into the first line as well as working to find practical and pragmatic solutions in the second line.



Your MC for the evening, Clive Room, Director of Events at Pulse Conferences, has worked as a marketer within cybersecurity since 2000, for many years as the Marketing Manager at Portcullis Computer. His experience in promoting and presenting at cyber security events is matched by his passion for helping people understand the critical importance of mitigating the risks and protecting themselves and their organisations from cybercrime. Clive is proud to be a part of the dynamic team bringing Pulse Conferences to the marketplace as a game changer in events for professionals working in security, assurance, risk and governance.

Location

The Dome at Threadneedles Hotel
5 Threadneedle Street
London. EC2R 8AY
T: +44 (0)20 7657 8080
www.hotelthreadneedles.co.uk

Who will Attend?

For individuals interested in risk on the buy side.
C-Suite and heads of risk teams

From

Asset Managers, Hedge Funds, Private Wealth Managers etc...

Agenda

18:00-18:30 Guests arrive for welcome drinks and canapés
18:30-18:45 Short Discussion - **Approaches to Operational Risk on the Buy Side**
18:45-21:30 Networking continues

R.S.V.P.

By Friday 2 August 2019
Please Register Online
www.oprisk360.com

What have guests said about recent Pulse events?

"Good lively discussion and engaged participants." Head of Operational Rigour, Barclays

"Great host. Great discussion. Great networking!" CISO, Capita

"Excellent forum for meeting and exchanging views with other senior Information Security Professionals. I thoroughly recommend it. Fabulous location and fascinating conversation, good number of people present of the correct calibre." Chief Risk Officer, Box

"Discussions were lively, interesting and engaging. Having the opportunity to meet industry peers, alongside Pulse Conference's excellent hospitality, made the evening an all-round success." Head of Information Security, Condé Nast International